

# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/039,850	11/07/2001	Tim-Hermann Kordes	3431-011377	9313 •	
7	590 11/27/2002				
Russell D. Orkin			EXAMINER		
Attorney for Applicant 700 Koppers Building			HAAS, W	WENDY C	
463 Seventh A			ART UNIT	PAPER NUMBER	
Pittsburgh, PA	13219-1010	•	1661		
			DATE MAILED: 11/27/2002		

Please find below and/or attached an Office communication concerning this application or proceeding.

i -		•	FILE			
	Application No.	Applicant(s)				
Office Action Summany	10/039,850		KORDES, TIM-HERMANN			
Office Action Summary	Examiner	Art Unit				
The MAN INO DATE of this communication	Wendy C Haas	1661	Idroso			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR RETHE MAILING DATE OF THIS COMMUNICATION - Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communication - If the period for reply specified above is less than thirty (30) days, - If NO period for reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by set - Any reply received by the Office later than three months after the rearned patent term adjustment. See 37 CFR 1.704(b).	ON. FR 1.136(a). In no event, however, in. a reply within the statutory minimu eriod will apply and will expire SIX statute, cause the application to be	may a reply be timely filed  m of thirty (30) days will be considered time (6) MONTHS from the mailing date of this occurs ABANDONED (35 U.S.C. § 133)				
1) Responsive to communication(s) filed on	07 November 2001 .					
2a) ☐ This action is FINAL. 2b) ☑	This action is non-final	l.				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims						
4) Claim(s) 1 is/are pending in the applicatio	on.					
4a) Of the above claim(s) is/are with		on.				
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or election requirement.						
Application Papers						
9)⊠ The specification is objected to by the Examiner.						
10)⊠ The drawing(s) filed on <u>07 November 2001</u> is/are: a)⊡ accepted or b)⊠ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.						
If approved, corrected drawings are required in reply to this Office action.						
12) ☐ The oath or declaration is objected to by the Examiner.						
Priority under 35 U.S.C. §§ 119 and 120						
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) ☐ All b) ☐ Some * c) ☐ None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
<ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).						
a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.						
Attachment(s)						
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-9483)</li> <li>Information Disclosure Statement(s) (PTO-1449) Paper No.</li> </ol>	3) 5) 🗍 No	terview Summary (PTO-413) Paper No otice of Informal Patent Application (PT her:				

Application/Control Number: 10/039,850

Art Unit: 1661

#### **DETAILED ACTION**

#### **Drawings**

The drawings are objected to because only black and white photocopies of the drawings are included in the case file. It appears from the papers available to the Examiner that Applicant filed appropriate color photographs that have become disassociated from the case file. While the Examiner extends her apologies for this mix-up, new drawings are still required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance. Applicant is reminded that allowance of the application is not possible until new drawings are provided, as the claim is to the plant as *illustrated* and described. Further information regarding the submission of drawings to the Office is included below.

## Objection to the Disclosure

#### 37 CFR 1.163

### The following is a quotation of section (a) of 37 CFR 1.163:

(a) The specification must contain as full and complete a disclosure as possible of the plant and the characteristics thereof that distinguish the same over related known varieties, and its antecedents, and must particularly point out where and in what manner the variety of plant has been asexually reproduced. In the case of a newly found plant, the specification must particularly point out the location and character of the area where the plant was discovered.

# 35 USC § 112

#### The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

#### The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Application/Control Number: 10/039,850

Art Unit: 1661

`4

As specific to United States Plant Patent applications, the specifics of 37 CFR 1.164 (reproduced below) are controlling:

The claim shall be in formal terms to the new and distinct variety of the specified plant as described and illustrated, and may also recite the principal distinguishing characteristics. More than one claim is not permitted.

In plant applications filed under 35 U.S.C. 161, the requirements of 35 U.S.C. are limited. The following is a quotation of 35 U.S.C. 162:

No plant patent shall be declared invalid for noncompliance with section 112 of this title if the description is as complete as is reasonably possible. The claim in the specification shall be in formal terms to the plant shown and described.

The disclosure is objected to under 37 CFR 1.163 (a) and under 35 U.S.C. 112, first paragraph, because the specification presents less than a full, clear and complete botanical description of the plant and the characteristics which define same per se and which distinguish the plant from related known cultivars and antecedents.

### More specifically:

- A. Applicant must provide the botanical name of the instant plant beneath a separate heading at the beginning of the specification in order to comply with 37 CFR 1.163(c)(4). Correction is required.
- B. Page 2, Table 1 is blank. Table 1 must be filled in or deleted.

#### Claim Rejection

# 35 U.S.C. § 112, 1st and 2nd Paragraphs

Claim 1 is rejected under 35 U.S.C. 112, first and second paragraphs as not being supported by a clear and complete botanical description of the plant for reasons set forth in the Objection to the Disclosure Section above, and under 35 U.S.C. 112 first paragraph for the reasons advanced in the objection to the drawings.

Application/Control Number: 10/039,850

Art Unit: 1661

### **Drawing Changes**

Applicants are advised of the changes to 37 CFR 1.84 which took effect November 29, 2000. In particular, 37 CFR 1.84(e) now states, in part:

Photographs must be developed on paper meeting the sheet size requirements of paragraph (f) of this section and the margin requirements of paragraph (g) of this section.

The USPTO no longer accepts photographs mounted on bristol board, paper or other material. Further information on the new rules is available on the USPTO web site at: <a href="http://www.uspto.gov">http://www.uspto.gov</a>.

Mail sent to the USPTO is regularly irradiated due to concerns about domestic terrorism. Irradiated photographs have a tendency to melt. Accordingly, Applicant should send substitute drawings to:

USPTO PO BOX 2327 Arlington, VA 22202

OR hand-carry or deliver the drawings via Federal Express or UPS to:

Wendy C. Haas, Examiner Art Unit 1661 Technology Center 1600 Reception Area 7<sup>th</sup> Floor Crystal Mall One 1911 South Clark Street Arlington, VA 22202

# **Future Correspondence**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Wendy C. Haas whose telephone number is (703) 308-8898. The Examiner is normally available Monday through Friday, 9 a.m. to 5 p.m.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Bruce Campell, can be reached on (703) 308-4205. The fax number for the group is (703) 305-3041 or (703) 308-4242.

Any inquiry of a general nature or relating to the status of this application should be directed to the Matrix Customer Service Center whose telephone number is (703) 308-0196.

W.C. Haas

UPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 1600